

PATENT

(Practitioner's Docket No. IN-5490)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Charles L. TAZZIA et al.

US Serial No. 09/987,823

Filed: November 16, 2001

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: IMPROVED METHOD FOR MAKING AN AQUEOUS DISPERSION

Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number **ET332278346US**

Date of Deposit

December 13 2001

I hereby certify that the following attached paper or fee

☒ **Combined Declaration and Power of Attorney on** ☐ **04** **pages**

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)

Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) **be signed** and (2) **fully identify and be securely attached to the paper or fee it accompanies**. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

PATENT

(Practitioner's Docket No. IN-5490)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Charles L. TAZZIA et al.

US Serial No. 09/987,823

Filed: November 16, 2001

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: IMPROVED METHOD FOR MAKING AN AQUEOUS DISPERSION

Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number **ET332278346US**

Date of Deposit

December 13, 2001

I hereby certify that the following attached paper or fee

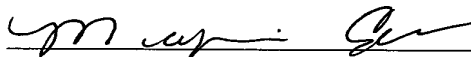
☒ **Patent Application Data Sheet**

pages

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)

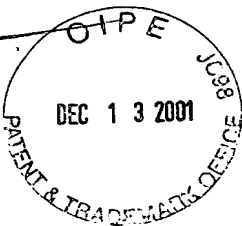


Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) **be signed** and (2) **fully identify and be securely attached to the paper or fee it accompanies**. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.



12-20-01

Sector \$

PATENT

(Practitioner's Docket No. IN- 5490)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Charles L. TAZZIA et al.

Serial No.: 09/987,823

Filed: November 16, 2001

For: IMPROVED METHOD FOR
MAKING AN AQUEOUS
DISPERSION

Group Art Unit:

Examiner: Not Assigned

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. ET332278346US addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on December 13, 2001.


Marjorie Ellis

**COMPLETION OF FILING REQUIREMENTS-
NONPROVISIONAL APPLICATION**

Hon. Assistant Commissioner for Patents
Washington, DC 20231

Sir:

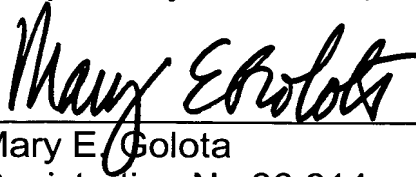
Submitted herewith is the original fully executed Combined Declaration and Power of Attorney for the above-mentioned patent application. Also submitted herewith is a copy of the Notice to File Missing Parts of Application-Filing Date Granted (Form PTO-1533) dated November 29, 2001, and the Patent Application Data Sheet.

Please charge to Deposit Account No. 23-3425 the Surcharge fee of \$130.00 for late filing of the original Combined Declaration and Power of Attorney.

Furthermore, if the fee submitted herewith is deemed to be deficient or over in any amount, please charge or credit Deposit Account No. 23-3425 in the amount of the deficiency.

This form is submitted in triplicate.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Mary E. Golota", is written over a horizontal line.

Mary E. Golota
Registration No. 36,814

Date: December 13, 2001
BASF Corporation
26701 Telegraph Road
Southfield, MI 48034-2442
(810)-948-2020
Customer No. 26922



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/987,823	11/16/2001	Charles L. Tazzia	IN-5490

26922
BASF CORPORATION
ANNE GERRY SABOURIN
26701 TELEGRAPH ROAD
SOUTHFIELD, MI 48034-2442

CONFIRMATION NO. 7654

FORMALITIES LETTER



OC000000007139287

Date Mailed: 11/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/987823
00000000 033425
030.00 24
11/29/2001